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PTO/SB/05 (03-01)

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 021704 2764 U.S. PTO	UTILITY PATENT APPLICATION TRANSMITTAL <i>Only for new nonprovisional applications under 37 CFR 1.53(b)</i>		Attorney Docket No. 7326-131	Total Pages 189
	First Named Inventor or Application Identifier			
	Spyridon Artavanis-Tsakonas <i>et al.</i>			
	Express Mail Label No.	EV 335 859 053 US		

APPLICATION ELEMENTS <i>See MPEP chapters 600 concerning utility patent application contents.</i>	Address to: Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450
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1. ☒ Request to File Continuation Application
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims Small Entity status, see 37 C.F.R. § 1.27
3. ☒ Specification [Total Pages 138]
(preferred arrangement set forth below, MPEP 1503.01)
 - Table of Contents
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description of the Invention (including drawings, if filed)
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 44]
5. ☒ Oath or Declaration [Total Sheets 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09/564,504 filed May 4, 2000, which is a divisional of application Serial No. 08/532,384 filed September 22, 1995, now U.S. Patent No. 6,083,590 which is a continuation application of Serial No. 08/083,590, filed June 25, 1993, now U.S. Patent No. 5,786,158, which is a continuation-in-part of both co-pending application Serial No. 07/955,012 filed September 30, 1992, and co-pending application Serial No. 07/879,038 filed April 30, 1992.

Prior application information: Examiner: Janet L. Andres Group Art Unit: 1646

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	20583 <i>Insert Customer No.</i>	or <input type="checkbox"/> Correspondence address below
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner Janet L. Andres
 Art Unit 1646

Box PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 C.F.R. § 1.53(b), of pending prior application no. 09/564,504 filed May 4, 2000.

of Spyridon Artavanis-Tsakonas, Richard Grant Fehon, Panayiotis Zagouras and Christine Marie Blaumueller
(inventor(s) currently of record in prior application)

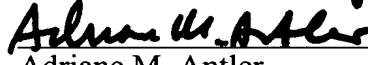
for THERAPEUTIC AND DIAGNOSTIC METHODS AND COMPOSITIONS BASED ON NOTCH PROTEINS AND NUCLEIC ACIDS
(title of invention)


1. ☐ Please cancel claims and without prejudice, prior to calculating the filing fee.
2. ☐ Amend the specification by inserting before the first line the following sentence:
- 3a. ☐ New formal drawings are enclosed.
- 3b. ☒ Informal drawings are enclosed.
- 4a. ☐ Priority of application no. filed on in is claimed under 35 U.S.C. §119.
- 4b. ☐ The certified copy has been filed in prior application no. , filed .
5. ☒ The prior application is assigned of record to Yale University at Reel 6710, Frame 0469 .
- 6a. ☒ A copy of the Power of Attorney filed in the prior application no. 09/564,504 filed May 4, 2000 is enclosed.
- 6b. ☐ A Power of Attorney is enclosed.

7. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application.
8. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
9. ☐ DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
10. ☒ Additional enclosures or instruction: Preliminary Amendment

Respectfully submitted,

Date: February 17, 2004


 Adriane M. Antler
 JONES DAY
 222 East 41st Street
 New York, New York 10017

By 
 REC 00 40,203
 32,605
 (Reg. No.)